

| As a below named inventor,  | I hereby  | declare that:   |  |
|-----------------------------|-----------|-----------------|--|
| As a below hained inventor, | I MCI COJ | acciar o illar. |  |

| [X] | original             |
|-----|----------------------|
|     | divisional           |
| ίi  | continuation         |
| ij  | continuation-in-part |

This declaration is of the following type:

# INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### TITLE OF INVENTION

"A CARRIER HEAD WITH A MULTILAYER RETAINING RING FOR CHEMICAL MECHANICAL POLISHING"

## SPECIFICATION IDENTIFICATION

The specification of which:

| 1  | is attached hereto   |
|----|--|
| ΧÌ | was filed on June 3, 1998, under Serial No. 09/090,679, executed on even date herewith; or |
| -  | [ ] Express Mail No.(as Serial No. not yet known)  |
|    | and was amended on (if applicable)   |
| 1  | was described and claimed in PCT International Application No.                             |
| •  | filed on and as amended under PCT Article 19 on  |

# ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56,

and which is material to the examination of this application; namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and

| [] | In compliance with this duty there is attached an Information Disclosure Statement in accordance |
|----|--|
|    | with 37 CFR §1.98.   |

## PRIORITY CLAIM (35 U.S.C. §119)

I hereby claim foreign priority benefits under Title 35, United States Code, §119, of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below, and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

|  |  |   |  |  |   | •   |   |
|--|--|---|--|--|---|---|---|
|  | [X]  | No such a   | pplications have bee   | n filed.   |   |   |   |
|  | []   | Such app  | lications have been f  | iled as follows:   |   |   | •   |
| <b>A.</b>  | Prior foreign/PCT application(s) filed within 12 mos. (6 mos. for design) prior to this application, any priority claims under 35 U.S.C. §119  |   |  |  |   | ion, and  |   |
|  | Cour   | try/PCT   | Application No   | <u>Da</u>  | te Filed  | Priority Claimed  |   |
|  |  |   |  |  |   | [ ] Yes [ ] No<br>[ ] Yes [ ] No<br>[ ] Yes [ ] No  |   |
| В.   |  | eign applic<br>pplication   | ation(s), if any, file   | d more than 12 i   | mos. (6 mos for d   | esign) prior to this  |   |
|  | Count<br>Applic<br>Filing  | ation No:   |  |  |   |   |   |
|  |  |   | PRIORIT  | Y CLAIM (35  | U.S.C. §120)  | ·   |   |
| matter of<br>by the<br>materia<br>reasona<br>which | tional apposed to the control of the | olication(s) d<br>f the claims of<br>agraph of Ti<br>examination<br>miner would | esignating the United of this application is not the 35, United States n of this application I consider it importa | States of Americ of disclosed in tha Code, §112, I ac (namely, informat in deciding where the state of the code of | a that is/are listed t/those prior appl cknowledge the dination where the nether to allow the | ited States application(s) I below and, insofar as the ication(s) in the manner puty to disclose information re is substantial likelihoode application to issue as a T international filing date. | e subject<br>provided<br>on that is<br>od that a<br>a patent) |
| applica  |  |   | p  | one c  |   | · · ··································  | .0 01 4115  |
|  | []   |   | pplications have bee ications have been fi   |  |   |   |   |
|  |  |   |  |  | Status  |   |   |
|  | Serial :   | <u>No</u> . <u>F</u>  | iling Date   | <b>Patented</b>  | Pending   | Abandoned   |   |

#### POWER OF ATTORNEY

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

| Michael L. Sherrard Peter J. Sgarbossa Donald Verplancken Lawrence Edelman Michael B. Einschlag John Schiffhauer Joseph Bach Raymond Kam-On Kwong James C. Wilson Robert W. Mulcahy | Registration No. 28,041<br>Registration No. 25,610<br>Registration No. 33,217<br>Registration No. 25,226<br>Registration No. 29,301<br>Registration No. 32,170<br>Registration No. 37,771<br>Registration No. 37,165<br>Registration No. 35,412<br>Registration No. 25,436 |
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| James C. Wilson<br>Robert W. Mulcahy<br>William J. Egan, III  | Registration No. 35,412<br>Registration No. 25,436<br>Registration No. 28,411  |
| Bao Q. Tran David J. Goren  | Registration No. 37,955<br>Registration No. 34,609   |

Send correspondence to:

Direct telephone calls to:

Patent Counsel, MS/2061 Legal Affairs Dept. Applied Materials, Inc. 3050 Bowers Avenue Santa Clara, CA 95054.

Full name of sole or first inventor

David J. Goren (650) 322-5070

## DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

STEVEN M. ZUNIGA

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|-----------------------------|---------------------------------|---|
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(Declaration ends with this page)

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